

PTO/SB/21 (08-03)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/650003

Filing Date

August 27, 2003

First Named Inventor

John B. Gunter

Art Unit

2821

Examiner Name

Not Yet Assigned

Attorney Docket Number

34822-00017

## ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☒ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication  
to Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):

Postcard

Amendment Transmittal Letter

Check for \$27.00 #152932

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual nameJENKENS & GILCHRIST, A PROFESSIONAL CORPORATION  
Daniel G. Nguyen

Signature

Date

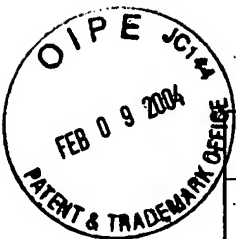
February 5, 2004

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Dated: February 5, 2004

Signature:

(Susan B. Jensen)

**AMENDMENT TRANSMITTAL LETTER**Docket No.  
34822-00017Application No.  
10/650003Filing Date  
August 27, 2003Examiner  
Not Yet AssignedArt Unit  
2821

Applicant(s): John B. Gunter, et al.

Invention: LED LIGHTING SYSTEM


**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	29	- 26 =	3	x 9	27
Independent Claims	0	- 3 =	0	x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					0
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>27.00</b>

☐ Large Entity☒ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.☒ A check in the amount of \$ 27.00 to cover the filing fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 10-0447  
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Daniel G. Nguyen  
Attorney Reg. No.: 42,933

Dated: February 5, 2004

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Signature:

*Susan B. Jensen*  
(Susan B. Jensen)

Docket No.: 34822-00017  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
John B. Gunter, et al.

Application No.: 10/650003

Art Unit: 2821

Filed: August 27, 2003

Examiner: Not Yet Assigned

For: LED LIGHTING SYSTEM

**FIRST PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 4 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.

02/12/2004 LWONDIM1 00000055 10650003

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